

10/23/2003 24 OCT 2003

Attorney's Docket No.: 10664-130002

**OFFICIAL COMMUNICATION**

**FACSIMILE**

**FOR THE PERSONAL ATTENTION OF:**

**OFFICE OF INITIAL PATENT EXAMINATION'S FILING RECEIPT  
CORRECTIONS**

**FAX NO: 703-746-9195**

Number of pages including this page 7

Applicant : David P. Cook  
Serial No. : 10/616,100  
Filed : July 8, 2003

Art Unit : 2131  
Examiner : Unknown

Title : Secure Message Forwarding System Detecting User's Preferences Including  
Security Preferences

**FACSIMILE COMMUNICATION**

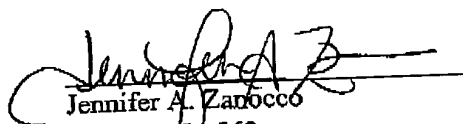
Office of Initial Patent Examination's Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached to this facsimile communication cover sheet is a REQUEST FOR  
CORRECTED OFFICIAL FILING RECEIPT, faxed this 23<sup>rd</sup> day of October, 2003, to the  
Office of Initial Patent Examination's Filing Receipt Corrections, of the United States Patent and  
Trademark Office.

Respectfully submitted,

Date: October 23, 2003

  
Jennifer A. Zarocco  
Reg. No. 64,563

Customer Number 26181  
Fish & Richardson P.C.  
500 Arguello Street, Suite 500  
Redwood City, California 94063  
Telephone: (650) 839-5070  
Fax: (650) 839-5071  
50181111.doc

NOTE: This facsimile is intended for the addressee only and may contain privileged or confidential  
information. If you have received this facsimile in error, please immediately call us collect at  
(650) 839-5070 to arrange for its return. Thank you.

Attorney's Docket No.: 10664-130002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : David P. Cook                      Art Unit : 2131  
Serial No. : 10/616,100                      Examiner : Unknown  
Filed : July 8, 2003  
Title : SECURE MESSAGE FORWARDING SYSTEM DETECTING USER'S  
PREFERENCES INCLUDING SECURITY PREFERENCES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Please correct the Filing Receipt for the above-referenced application to include the correct application title: **SECURE MESSAGE FORWARDING SYSTEM DETECTING USER'S PREFERENCES INCLUDING SECURITY PREFERENCES**

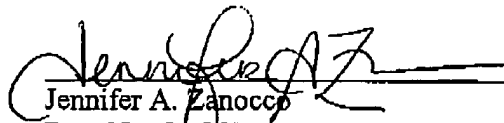
The correct title could be found in the Patent Application Transmittal (copy enclosed) and the Preliminary Amendment (copy enclosed), both filed with the application on July 8, 2003.

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes is attached for your convenience.

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

Date: Oct 23, 2003

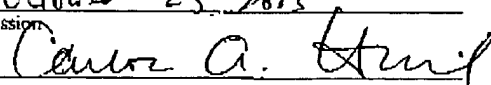
  
Jennifer A. Zanicco  
Reg. No. 54,563

Fish & Richardson P.C.  
500 Arguello Street, Suite 500  
Redwood City, California 94063  
Telephone: (650) 839-5070  
Facsimile: (650) 839-5071

50181105.doc

## CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

October 23, 2003  
Date of Transmission  
  
Carlos A. Brasil



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (e) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/616,100	07/08/2003	2131	989	10664-130002	16	67	4

26181  
 FISH & RICHARDSON P.C.  
 500 ARGUELLO STREET, SUITE 500  
 REDWOOD CITY, CA 94063

RECEIVED

OCT 15 2003

FISH & RICHARDSON  
 SILICON VALLEY OFFICE

CONFIRMATION NO. 1883

FILING RECEIPT



\*0000000010985088\*

Date Mailed: 10/09/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

David P. Cook, Dallas, TX;

## Assignment For Published Patent Application

Zix Corporation, a Texas corporation;

## Domestic Priority data as claimed by applicant

This application is a CON of 09/595,416 06/15/2000

## Foreign Applications

If Required, Foreign Filing License Granted: 10/03/2003

Projected Publication Date: 01/15/2004

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

~~Secure forwarding system~~

SECURE MESSAGE FORWARDING SYSTEM DETECTING  
 USER'S PREFERENCES INCLUDING SECURITY PREFERENCES

Pr liminary Class

713

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## FISH &amp; RICHARDSON P.C.

Frederick P. Fish  
1855-1930

W.K. Richardson  
1859-1951

July 8, 2003

Attorney Docket No.: 10664-130002

**Mail Stop Patent Application**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**COPY**

500 Arguello Street  
Suite 500  
Redwood City, California  
94063-1526

Telephone  
650 839-5070

Facsimile  
650 839-5071

Web Site  
www.fr.com

Presented for filing is a new continuation patent application of:

Applicant: DAVID P. COOK

Title: SECURE MESSAGE FORWARDING SYSTEM DETECTING USER'S  
PREFERENCES INCLUDING SECURITY PREFERENCES

The prior application is assigned of record to Zix Corporation, a Texas corporation, by virtue of an assignment submitted to the Patent and Trademark Office and recorded on November 28, 2000 at 01134/0979.

Enclosed are the following papers, including those required to receive a filing date under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	40
Claims	1
Abstract	1
Declaration	[filed in parent case, serial no. 09/595,416, copy enclosed]
Drawing(s)	16

**Enclosures:**

- Preliminary amendment, 13 pages.
- Application cover sheet
- Postcard.

This application is a continuation (and claims the benefit of priority under 35 USC 120) of U.S. application serial no. 09/595,416, filed June 15, 2000. The disclosure of the prior application is considered part of (and is incorporated by reference in) the disclosure of this application.

Basic filing fee	\$375
Total claims in excess of 20 times \$9	\$441

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

Express Mail Label No. EV 321 386 897 US

Date of Deposit July 8, 2003

Attc 's Docket No.: 10664-130002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**COPY**

Applicant : David P. Cook

Art Unit : Unknown

Serial No. : Unassigned

Examiner : Unknown

Filed : July 7, 2003

Title : SECURE MESSAGE FORWARDING SYSTEM DETECTING USER'S  
PREFERENCES INCLUDING SECURITY PREFERENCES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Prior to examination, please amend the application as indicated on the following pages.  
Applicant asks that all claims be examined in view of the amendment to the claims and remarks  
contained on the following sheets, a total of 13 pages.

Enclosed is a check in the amount of \$1,138.00 which includes the fee for excess claims.  
Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: July 8 2003

Mark D. Kirkland  
Reg. No. 40,048

(P 54563)

Fish & Richardson P.C.  
500 Arguello Street, Suite 500  
Redwood City, California 94063  
Telephone: (650) 839-5070  
Facsimile: (650) 839-5071

50162773.doc

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EV 321 386 897 US

July 8, 2003  
Date of Deposit

Applicant : David P. Cook  
Serial No. :  
Filed :  
Page : 2 of 13

Attorney's Docket No.: 10664-130002

**COPY**

In the specification:

Please amend the Title as follows:

SECURE MESSAGE FORWARDING SYSTEM DETECTING USER'S  
PREFERENCES INCLUDING SECURITY PREFERENCES

Please insert the following at page 1, line as follows:

**CROSS-REFERENCE TO RELATED APPLICATIONS**

This application is a continuation application of and claims priority to U.S. Application  
Serial No. 09/595,416, filed on June 15, 2000, which is incorporated herein by reference.